



Atty Dkt No. 009848-0276371

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Kufer, et al.

Serial No. 09/744,625

Filed: July 16, 2001

For: HETEROMINIBODIES

Mailing Certification under 37 C.F.R. § 1.8

I hereby certify that this paper and the attached papers are being deposited with the United States Postal Service as first class mail in an envelope addressed to:  
Office of Initial Patent Examination  
Customer Service Center  
Commissioner for Patents  
Washington, DC 20231, on this date

12/23/02 *Karyn F. Massie*  
Date Karyn F. Massie

REQUEST FOR CORRECTED FILING RECEIPT

Commissioner for Patents  
Washington, D.C. 20231  
ATTN: Office of Initial Patent Examination Customer Service Center

Sir:

After careful review of the Filing Receipt dated July 30, 2002, an error has been observed. It is requested that this error be corrected so that the Filing Receipt reads as set forth below, and that Applicant be provided with a corrected Filing Receipt.

Under **APPLICANT(S)**:

Please correct the inventor, Florian Zettl's, city of citizenship from Kompter, to read – **Kempton**–.”

A red-lined copy of the Filing Receipt is attached hereto. Applicant respectfully requests correction of the Filing Receipt and reissuance of the same.

Respectfully submitted,

PILLSBURY WINTHROP LLP

Dated: December 13, 2002

By: *Robert M. Bedgood*  
Robert M. Bedgood, Ph.D.  
Registration No. 43,488

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FEB 04 2003

TECH CENTER 1600/2900

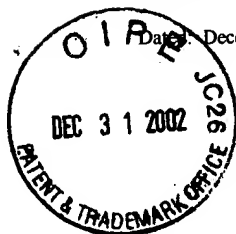
DT20 Rec'd PCT/PTO 31 DEC 2002

I hereby certify that this correspondence and any paper referred to as being attached or enclosed is being mailed via "First Class Mail" of the United States Postal Service on the date shown below in an envelope addressed to the Assistant Commissioner for Patents, U.S. Patent & Trademark Office, Washington, D.C. 20231

Date: December 23, 2002

By: Karyn F. Massie

Karyn F. Massie



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

## PATENT APPLICATION

Inventor(s): KUFER, et al.

Appln. No.: 09/744,625

Series Code ↑

Serial No. ↑

Filed: July 16, 2001

Hon. Commissioner of Patents

Washington, D.C. 20231

Group Art Unit 1642

Examiner: Yu Misook

Atty. Dkt. P 009848/0276371

M#

Client Ref

Appln. Title: HETEROMINIBODIES

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Sir:

## REPLY/AMENDMENT/LETTER

Date: December 23, 2002 TECH CENTER 1600/2900

This is a reply/amendment/letter in the above-identified application and includes the herewith attachment of same date and subject which is incorporated hereinto by reference and the signature below is treated as the signature to the attachment in absence of a signature thereto.

## FEE REQUIREMENTS FOR CLAIMS AS AMENDED

## 1. Small Entity claim

- A. ☒ NOT made  
B. ☐ Withdrawn  
C. ☐ made herewith  
D. ☐ made previously

For B & C  
See Required  
Separate Paper  
(Pat-256)

	Claims remaining after amendment	Highest number previously paid for	Present Extra	Large/Small Entity	Additional Fee	Fee Code Lg/Sm
2. Total Effective Claims	32	**minus 41	0	x \$18/\$9 =	+ \$0	103/203
3. Independent Claims	1	***minus 3	0	x \$84/\$42 =	+ \$0	102/202
4. If amendment enters proper multiple dependent claim(s) into this application for first time (leave blank if this is a reissue application)..... add				+ \$280/\$140 =	+ \$0	104/204
5. Original due Date: October 30, 2002	<input type="checkbox"/> NONE					
6. Petition is hereby made to extend the original due date to cover the date this response is filed for which the requisite fee is attached	(1 mo)	\$110/\$55 =		+ \$400		115/215
	(2 mos)	\$400/\$200 =				116/216
	(3 mos)	\$920/\$460 =				117/217
	(4 mos)	\$1,440/\$720 =				118/218
	(5 mos)	\$1,960/\$980 =				128/228
7. Enter any previous extension fee paid since above original due date and subtract			- \$0			
8.			Extension Fee	+ \$400		
9. If Terminal Disclaimer attached, add Rule 20(d) official fee .....			+ \$110/\$55	+ \$0		148/248
10. If IDS attached requires Official Fee under Rule 97 (c), ..... add			+ \$180	+ \$0		126
or if Rule 97(d) Request ..... add			+ \$180			126
11. After-Final Request Fee per rules 129(a) and 17(r) .....			+ \$740/370	+ \$0		146/246
12. No. of additional inventions for examination per Rule 129(b) .....			x \$740/370 ea	+ \$0		149/249
13. Request for Continued Examination (RCE) .....			+ \$740/370	+ \$0		1179/1279
14. Petition fee for .....				+ \$0		
15.			TOTAL FEE =	\$400.00		

16. \*If the entry in this space is less than entry in next space, the "Present Extra" result is "0".

17. \*\*If the "Highest number previously paid for" in this space is less than 20, write "20" in this space.

18. \*\*\*If the "Highest number previously paid for" in this space is less than 3, write "3" in this space.

FEE ENCLOSED

Our Deposit Account No. 03-3975)

(Our Order No. 009848 0276371

C#

M#

**CHARGE STATEMENT:** The Commissioner is hereby authorized to charge any fee specifically authorized hereafter, or any missing or insufficient fee(s) filed, or asserted to be filed, or which should have been filed herewith or concerning any paper filed hereafter, and which may be required under Rules 16-18 (missing or insufficiencies only) now or hereafter relative to this application and the resulting Official Document under Rule 20, or credit any overpayment, to our Accounting/Order Nos. shown above, for which purpose a duplicate copy of this sheet is attached.

This CHARGE STATEMENT does not authorize charge of the issue fee until/unless an issue fee transmittal sheet is filed.

Query: Is appeal deadline now? If so, file Notice of Appeals separately.

Pillsbury Winthrop LLP  
Intellectual Property Group

11682 El Camino Real, Suite 200  
San Diego, CA 92130-2593  
Tel: (619) 234-5000

By Atty: Robert M. Bedgood, Ph.D.

Reg. No. 43,488

Sig: 

Fax: (858) 509-4010

Tel: (858) 509-4065

Atty/Sec: JRW/kfm

**NOTE: File this cover sheet in duplicate with PTO receipt (PAT-103A) and attachments**



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/744,625	07/16/2001	1642	1786	07258-023001	75	42	1

Pillsbury Winthrop  
50 Freemont Street Fifth Floor  
San Francisco, CA 94105-2230



CONFIRMATION NO. 3114

## FILING RECEIPT



\*OC00000008531405\*

Date Mailed: 07/30/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Peter Kufer, Moosburg, GERMANY;  
Torsten Dreier, Munich, GERMANY;  
Patrick A. Baeuerle, Gauting, GERMANY;  
Katrín Borschert, Munich, GERMANY;  
Florian Zettl, Kompter, GERMANY;

↳ Kempten

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FEB 04 2003

TECH CENTER 1600/2900

## Domestic Priority data as claimed by applicant

THIS APPLICATION IS A 371 OF PCT/EP99/05416 07/28/1999

## Foreign Applications

EUROPEAN PATENT OFFICE (EPO) 98114082.5 07/28/1998

If Required, Foreign Filing License Granted 07/27/2002

Projected Publication Date: Not Applicable, filed prior to November 29, 2000

Non-Publication Request: No

Early Publication Request: No

## Title

Heterominibodies

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AUG 07 2002

SH

Preliminary Class

435

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**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

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TECH CENTER 1600/2900

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The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

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Dated: December 23, 2002

By:

Karyn F. Masie

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PATENT APPLICATION

Inventor(s): KUFER, et al.

Appl. No.: 09/ 744,625

Series Code ↑ Serial No. ↑

Filed: July 16, 2001

Hon. Commissioner of Patents  
Washington, D.C. 20231

Group Art Unit 1642

Examiner: Yu Misook

Atty. Dkt. P 009848/0276371

M#

Client Ref

Appln. Title: HETEROMINIBODIES

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Sir:

**REPLY/AMENDMENT/LETTER**

Date: December 23, 2002 TECH CENTER 1600/290

This is a reply/amendment/letter in the above-identified application and includes the herewith attachment of same date and subject which is incorporated hereinto by reference and the signature below is treated as the signature to the attachment in absence of a signature thereto.

**FEE REQUIREMENTS FOR CLAIMS AS AMENDED**

1. Small Entity claim A. <input checked="" type="checkbox"/> NOT made B. <input type="checkbox"/> Withdrawn C. <input type="checkbox"/> made herewith D. <input type="checkbox"/> made previously For B & C See Required Separate Paper (Pat-256)		Claims remaining after amendment	Highest number previously paid for	Present Extra	Large/Small Entity	Additional Fee	Fee Code Lg/Sm
2. Total Effective Claims		32	**minus 41	0	x \$18/\$9 =	+ \$0	103/203
3. Independent Claims		1	***minus 3	0	x \$84/\$42 =	+ \$0	102/202
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5. Original due Date: October 30, 2002		<input type="checkbox"/> NONE					
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		(2 mos)	\$400/\$200 =		+ \$400		116/216
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18. ***If the "Highest number previously paid for" in this space is less than 3, write "3" in this space.							
					FEE ENCLOSED		

Our Deposit Account No. 03-3975)

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By Atty: Robert M. Bedgood, Ph.D.

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: KUFER, et al.  
Application No.: 09/744,625  
Filing Date: July 16, 2001  
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CERTIFICATE OF MAILING

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U.S. Patent and Trademark Office  
Washington D.C., 20231

*Karyn F. Massie*  
Karyn F. Massie

*12/23/02*  
Date

TRANSMITTAL LETTER

Commissioner for Patents  
U.S. Patent and Trademark Office  
Washington D.C., 20231

Sir:

Transmitted herewith for filing are the following:

1. Reply/Amendment/Letter;
2. Response to Office Communication;
3. Request for Corrected Filing Receipt;
4. Redlined copy of Filing Receipt;
5. Filing Fee; and
6. Return Postcard.

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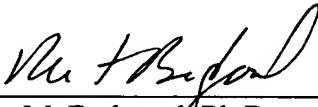
pendency to or to credit any overpayment to Deposit Account 03-3975.

Respectfully submitted,

PILLSBURY WINTHROP LLP

Date: 12-23-02

By

  
Robert M. Bedgood, Ph.D.  
Registration No. 43,488

11682 El Camino Real  
Suite 200  
San Diego, CA 92130  
Facsimile: (858) 509-4010  
Telephone: (619) 234-5000  
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